



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/920,323	08/02/2001	Joshua J.D. Martin	088305-0135

William T. Ellis
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Washington Harbour
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CONFIRMATION NO. 4997

FORMALITIES LETTER



OC000000006530296

Date Mailed: 09/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- o Total additional claim fee(s) for this application is \$534.
 - \$54 for 3 total claims over 20.
 - \$480 for 6 independent claims over 3 .
- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 1374.**

*A copy of this notice **MUST** be returned with the reply.*

Sandy

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/11/2001 15:00:00 00000000 00000000

710.00	CP
130.00	CP
34.00	CP
104.00	CP



Atty. Dkt. No. 088305-0135

✓ Sector

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Joshua J.D. MARTIN et al.
Title: METHOD OF CONDUCTING AN
ELECTRONIC ROLLING AUCTION
PERMITTING THE AUCTION SPONSOR
TO MAKE CHANGES TO THE
AUCTIONED ITEM
Appl. No.: 09/920,323
Filing Date: 08/02/2001
Examiner: Unassigned
Art Unit: 2165

**TRANSMITTAL OF MISSING PARTS
OF PATENT APPLICATION**

Commissioner for Patents
Washington, D.C. 20231

Attn: BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed on September 7, 2001, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:

- [X] Declaration and Power of Attorney (3 pages)
- [X] Return Copy of Notice to File Missing Parts
- [X] Petition for Extension of time
- [X] Information Disclosure Statement (2 pages)
- [X] Form PTO-1449 (1 page) and 6 references

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$740.00	\$740.00
Total Claims:	23	- 20	= 3	x \$18.00	= \$54.00
Independents:	9	- 3	= 6	x \$84.00	= \$504.00
If any Multiple Dependent Claim(s) present:				+ \$280.00	= \$0.00
Fee for Petition for Two Month Extension of Time				+ \$400.00	= \$400.00
Surcharge under 37 CFR 1.16(e) for late filing of Executed Declaration and late payment of filing fee				+ \$130.00	= \$130.00
				TOTAL:	= \$1828.00

☒ Check in the amount of \$1,828.00 (\$740.00 in payment of official Government filing fee, including \$130.00 surcharge fee (37 C.F.R. § 1.16(e)), \$54.00 fee for 3 extra dependent claims over 20, \$504.00 for 6 extra independent claims over 3, and \$400.00 fee for Petition for Two-Month Extension of Time.

☐ Please charge Deposit Account No. 19-0741 in the amount of \$0.00 in payment of surcharge fee (37 C.F.R. § 1.16(e))

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date December 17, 2001

By 

FOLEY & LARDNER
Customer Number: 22428



22428

PATENT TRADEMARK OFFICE

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